**Form for the notification for the provision of**

**arrangements to facilitate access to an MTF or OTF**

Reference number:………..

Date:……….

**Part 1 – Contact Information:**

Type of notification:

Provision of arrangements to facilitate

access to an MTF / OTF / changes to the

particulars of the notification for the provision

of arrangements to facilitate access to an

MTF / OTF

Member State(s) in which the investment

firm/market operator intends to provide

arrangements:

Name of investment firm/market operator:

Address:

Telephone number:

E-mail:

Name of the contact person at the

investment firm / market operator:

Home Member State Authorization Status (of the investment Authorised / Licensed / Supervised by [Home firm)/ Applicable Law (of the market Member State Competent Authority]

Operator):

Authorisation Date (for investment firms):

Name of the MTF / OTF:

Date from which the arrangements will be with immediate effect

provided:

**Part 2 – Description of [name of the MTF/OTF] business model:**

[The description shall include at least the following information]

Type of traded financial instruments:

|  |
| --- |
| [to be completed by investment firm / market operator] |

Type of trading participants:

|  |
| --- |
| [to be completed by investment firm / market operator] |

Type of appropriate arrangements:

|  |
| --- |
| [to be completed by investment firm / market operator] |

Marketing:

|  |
| --- |
| [to be completed by investment firm / market operator] |